



Attorney Docket No. 06556-0003-01-000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
John Polk	)
Serial No.: 09/003,941	) Group Art Unit: 2514
Filed: January 7, 1998	) Examiner: Not Assigned
For: Method and Apparatus for Payment Processing Using Debit-Based Electronic Funds Transfer and Disbursement Processing Using Addendum-Based Electronic Data Interchange	) ) ) ) ) ) ) )

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the communication of April 6, 1998, Applicant submits that a Declaration in the above-identified application was indeed transmitted with the application. As identified on the attached photocopy of the filing postcard (with an OIPE timestamp, dated 8 Jan. 1998), a "Declaration and Power of Attorney (1 pp.)" was attached to the application. Applicant has attached another copy of the Declaration, should a copy still be needed. Please associate this Declaration with the above-identified application.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005

202-408-4000

If there are any additional questions regarding the Declaration, please contact me.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

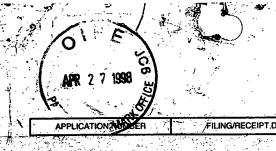
By:*<u>-∫/////////</u> Thomas H. Martin* Reg. No. 34,383

Dated: April 27, 1998

FINNEGAN, HENDERSON,

FARABOW, GARRETT, & DUNNER, L. L.P. 1300 I STREET, N. W. WASHINGTON, DC 20005

202-408-4000





## UNITED STATE PARTMENT OF COMMERCE

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

A STATE OF THE PERSON NAMED IN	A-7/			1.			 <u> </u>
APPLICATION	SER	/FILING/RE	CEIPT DATE	177	FIRST NAME	D APPLICANT	ATTORNEY DOCKET NO./TITLE
TO STATE OF THE ST							

09/003,941 01/07/98

6556.0003-01

FINNEGAN HENDERSON FARABOW GARRETT AND DUNNER

NOT ASSIGNED

FARABOW GARRETT AND DUNN 1300 I STREET NW WASHINGTON DC 20005-3315

2514

DATE MAILED:

04/06/98

10-6-91 W/CX4

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

to avoid abandonment.	
If all required items on this form are filed	within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-sr	nall entity is \$ / 5 ()
1. The statutory basic filing fee is:	
missing.	
insufficient.	to complete the basic filing fee and/or file a small entity statement claiming
such status (37 CFR 1.27).	ig complete the basic filling see alrow. He a small entry statement claiming
□ 2. Additional claim fees of \$	including any multiple dependent claim fees, are required.
	인 성기를 가능했다면서 한 경쟁 등은 인종을 이 등록이 있다. 이 사이는 이 나를 가능한 것을 하는 사람이 모든 아니라를 받는
\$ for_	independent claims over 3.
\$ for	dependent claims over 20.
\$ for multiple dens	endent claim surcharge,
	ditional claim fees or cancel additional claims for which fees are due.
3. The oath or declaration:	
is missing or unexecuted.	
does not cover the newly submitt	ted items.
does not identify the application t	o which it applies.
does not include the city and state	te or foreign country of applicant's residence.
An oath or declaration in compliance	with 37 CFR 1. 63, including residence information and identifying the application by
the above Application Number and F	그는 그리고 있는 그리고 있다. 그리고 있는 그리고 있는 그리고 있는 그리고 있는 것이 없는 것이 없는 것이 없는 것이 없는 것이 없는 것이다.
	ration is/are by a person other than inventor or person qualified under 37 CFR 1.42,
1.45 or 1.5	n in compliance with 37 CFR 1.63, identifying the application by the above
Application Number and Filing Date,	
5. The signature of the following joint inv	ventor(s) is missing from the oath or declaration:
	with 37 CFR 1.63 listing the names of all inventors and signed by the omitted
	n by the above Application Number and Filing Date, is required.
	ince your check was returned without payment (37 CFR 1.21(m))
	because your check was returned without payment.
8. The application does not comply with	
See attached "Notice to Comply with S	Sequence mules of URH 1821-1.825
☐ 9 OTHER:	
Direct the reply and any questions about thi	is notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply



KEC-THM-BPK

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

SIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application Of:

**∞**John POLK

Group Art Unit: [Not Assigned]

Examiner: [Not Assigned]

Serial No.:08/941,187 → Filed: September 30, 1997

This Appln. Filed January 6, 1998 95 JAN 0 8 1998

For: METHOD AND APPARATUS FOR PAYMENT PROCESSING USING DEBIT-BASED ELECTRONIC FUNDS TRANSFER. . . DATA INTERCHANGE.

1. Copies of as-filed materials of U.S. Application of 08/941,187, Filed September 30, 1997/Specification (39 pp.)/126 claims(15 Independent)/Abstract/Recordation Form Cover with Assignment (2pp.)/Declaration and Power of Attorney (1 pp.).;

- 2. Transmittal cover for new divisional appln. w/check for fee;
- 3. Preliminary Amendment;
- 4. Request For Approval of Drawing Change/w/copy of Fig. 14; and
- 5. Check \$790.00.

Dated: January 8, 1998

Docket No. 06556.0003-01

DUE DATE:

BPK/jbs MD#852

RETURN TO SKEES MD#852

1-9-99